



83641  
4

PTO/SB/21 (08-00)

Approved for use through 10/31/2002

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/830,040
	Filing Date	August 13, 2001
	First Named Inventor	Christopher Robert Eccles
	Group Art Unit	3641
	Examiner Name	Ricardo J. Palabrica
Total Number of Pages in This Submission	Attorney Docket Number	13121US01

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO 1449/08A with 3 references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) (        sheets) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):  POSTCARD
Remarks		<b>RECEIVED</b>  JUL 07 2004  <b>GROUP 3600</b>

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	McAndrews Held & Malloy, Ltd
Signature	<i>Scott P. McBride</i>
Date	June 24, 2004

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 24, 2004

Name (Print/type)	Scott P. McBride	Registration No. (Attorney/Agent)	42,853
Signature	<i>Scott P. McBride</i>	Date	June 24, 2004





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Christopher R. Eccles

Application No.: 09/830,040

Filed: 8/13/2001

For: ENERGY GENERATION

Examiner: Ricardo J Palabrica

Group Art Unit: 3641

Attorney Docket No.: 13121US01

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. § 1.97(b)(4)**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

JUL 07 2004

**GROUP 3600**

Dear Examiner Palabrica:

Pursuant to 37 C.F.R. § 1.56, it is respectfully requested that the following references be considered in the continued examination of the above-identified patent application:

- PCT - WO 92/10838, *Energy/Matter Conversion Methods and Structures*, publication date June 25, 1992
- PCT - WO 96/42085, *Lower-Energy Hydrogen Methods and Structures*, publication date December 27, 1996
- Court opinion from *Blacklight Power, Inc. v. Dickinson*, 55 U.S.P.Q.2d 1812 (D.D.C. Aug. 15, 2000)

The above-identified references are listed on the attached PTO Form 1449 and copies are also enclosed herewith.

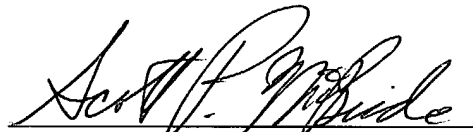
Application No. 09/830,040  
Attorney Docket No. 13121US01

This submission is in no way intended as an admission that the above-cited references constitute prior art under any subsection of 35 U.S.C. § 102. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art.

Please charge any fees incurred in connection with this submission to Deposit Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd.

Respectfully submitted,

Date: June 24, 2004



Scott P. McBride  
Registration No. 42,853

MCANDREWS, HELD & MALLOY, LTD.  
500 West Madison Street, 34th Floor  
Chicago, IL 60661

Telephone: (312) 775-8000  
Facsimile: (312) 775-8100



FORM PTO-1449	SERIAL NO. 09/830,040	CASE NO. 13121US01
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE 8/13/2001	GROUP ART UNIT 3641
	(use several sheets if necessary)	
APPLICANT(S): Eccles		

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1					
	A2					
	A3					
	A4					
	A5					
	A6					
	A7					
	A8					
	A9					

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	A10	WO 92/10838	6/25/1992	US		
	A11	WO 96/42085	12/27/1996	US		
	A12					
	A13					

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A14	Court opinion from <i>Blacklight Power, Inc. v. Dickinson</i> , 55 U.S.P.Q.2d 1812 (D.D.C. Aug. 15, 2000)
	A15	
	A16	
	A17	
	A18	
	A19	
	A20	

RECEIVED

JUL 07 2004

GROUP 3600

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.